



**BLUERIDGE CAPITALS, LLC**  
**381 Diablo Rd, Suite 201**  
**Danville, CA 94526 Series 7, 63, 65**  
**admin@blueridgecapitals.com**

## **SECTION A**

### **INVESTMENT AGREEMENT**

Between

---

(Investor)

&

Blueridge Capitals, LLC

(Company)

This investment agreement is entered by and between the "Company" and the "Investor" that has applied to own an investment account with Blueridge Capitals LLC, operated and managed by the Company for the execution of transactions using financial instruments in accordance with the terms of this agreement.

The Company will open an investment account (the "Margin Account") for and in the name of the investor as provided by the investor upon registration according to the company's requirements, which includes providing complete and accurate information for the purpose of the opening of the Account.

The Investor hereby authorizes the company to make investment decisions on behalf of the investor with a fiduciary responsibility to the investor.

The Investor acknowledges that he/she is acting for him/herself and not as an agent or trustee on behalf of someone else. Accordingly, the Investor agrees that he/she will be directly and fully responsible for performing his/her obligations under this Agreement, for every and any transaction made by or on behalf of the Investor. The Company shall not accept any other person as an indirect Investor with respect to this Agreement and shall accept no obligation to any such person.

The Investment Account and use of the company's services are only available to investors above the age of 18. The Investor must also ensure that there are no legal or other restrictions against them when entering into the agreement.

**Privacy Statement:** We are committed to protecting your privacy. Authorized employees within the company on a need to know basis only use any information collected from individual customers for purposes related to the investment account and within the company. We constantly review our systems and data to ensure the best possible service to our customers. There are specific statutory offences for unauthorised actions against disclosure of user data. We will investigate any such actions with a view to prosecuting and/or taking civil proceedings to recover damages against those responsible. We will never voluntarily give personal information such as: IP addresses, email addresses, name, trading history or anything else associated with a customer account to a 3<sup>rd</sup> party. You can find more information on our terms of service.

BLUERIDGE CAPITALS

## **SECTION B**

### **FUNDING AND WITHDRAWALS**

**Margin Funds:** As a condition for participating in Blueridge Capitals, the Investor must transfer funds to the account created on the company's portal. The Funds transferred shall be used to fund your margin account which will be used for the said investments.

Funds shall not accumulate any other rights except in the case where the investor dies or becomes mentally challenged upon which the account will be transferred to the investor's next of kin as provided by the investor.

Method of depositing funds to the account are provided by the company through the company's website and alternative channels like the company's domain email or any social links available on the website.

#### **Withdrawal fee**

Upon application for withdrawal, the company charges a withdrawal fee of 1% of the amount being withdrawn.

Pls note: Withdrawal requests should be made at least 30 days before desired withdrawal date. This is because funds added to your account become margin funds which will be used to place limit orders for trades. As a result the company will need to be notified beforehand to make necessary adjustments.

#### **Commissions**

According to standard rates, the broker charges a commission fee of 0.25% on each trade upon closing the position. All of this data is easily accessible and will be included in your trading history on the portal.

## **Vesting Period**

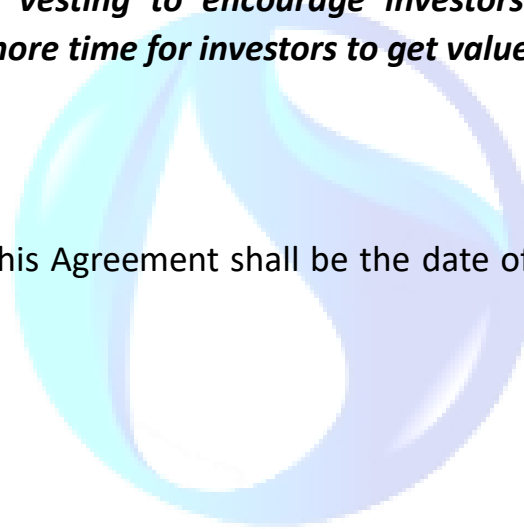
The Investment account has a vesting period of 8 months meaning you lose part of the money being withdrawn if you withdraw before becoming fully vested. The penalty for withdrawing before vesting period is a 20% tax taken by the company as early distribution penalty along with the withdrawal fees.

***N.B. Vesting is always required when earning from financial instruments like stock options and other time dependent contracts.***

***Companies often use vesting to encourage investors to stay longer at the company and create more time for investors to get value from their investment.***

## **SECTION C**

The effective date of this Agreement shall be the date of transfer of funds to the margin account.



**BLUERIDGE CAPITALS**

## INVESTORS INFORMATION

Name: \_\_\_\_\_

Country: \_\_\_\_\_

Address: \_\_\_\_\_

Phone no: \_\_\_\_\_

Email: \_\_\_\_\_

Next of kin: \_\_\_\_\_

Address of next of kin: \_\_\_\_\_

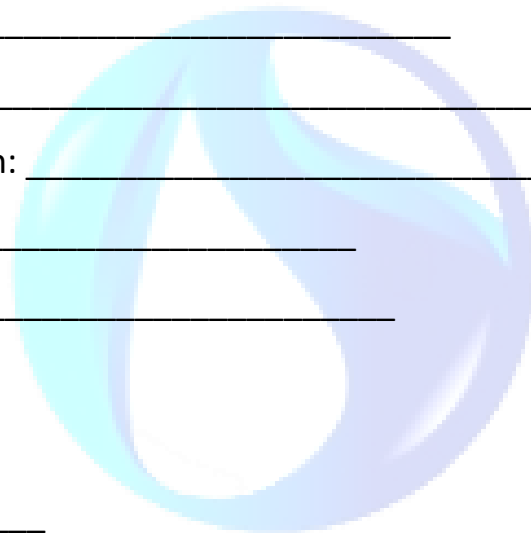
Phone no of next of kin: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_  
John Griffin

(CEO Blueridge Capitals)



BLUERIDGE CAPITALS